

	<p>and for the required time thereafter that such records are required to be kept by federal and state securities laws. After this required period of record retention ends, all such information about former customers will be properly removed and destroyed. You may obtain information about Investor Education and Protection, from FINRA Broker Check at www.finra.org or by calling the FINRA Broker Check Hotline at 800-289-9999. You may obtain information about customer information protection and identity theft at www.ftc.gov/idtheft</p>
<p>HOW DOES AMERICAN FINANCIAL MANAGEMENT COLLECT MY PERSONAL INFORMATION?</p>	<p>We collect your personal information, for example, when you : Establish our services or Service your account We also collect personal information about you for business purposes, processing your requests and transactions, and providing customer service. We collect non-public information about you from the following sources: information we receive from you on contract, and other forms including but not limited to, your name, date of birth, social security number, employment information, assets, income and investment experiences/objectives, risk tolerance, net worth; and information about your transactions with us, our affiliates and others.</p>
<p>WHY CAN'T I LIMIT ALL SHARING?</p>	<p>Federal law gives you the right to limit only sharing for affiliates everyday business purposes- information about your creditworthiness, affiliates from using your information to market you, or sharing for non-affiliates to market you.</p>
<p>DISASTER RECOVERY PLAN</p>	<p>AFM has a disaster recovery plan in place--you can request information about our plan by calling our toll free number at 800 798-3553 or writing to us at PO Box 1127, Cedar Falls IA 50613</p>
<p>DEFINITIONS</p>	
<p>AFFILIATES</p>	<p>Companies related by common ownership or control. They can be financial and non-financial companies. Our affiliates are: American Financial Securities, Inc., Investors Professional Services, Inc. and Professional Brokerage Services, Inc.</p>
<p>NON-AFFILIATES</p>	<p>Companies not related by common ownership or control. They can be financial and non-financial companies. The non-affiliated third parties with which we may share non-public client information include financial service providers such as mutual fund companies, annuity and insurance companies, broker dealers, RIA's and registered Investment advisors with whom we have an agreement with and the client has a contract with. Their involvement is essential to the provision of overall service provided by AFM to its clients. The information shared with these non-affiliated companies is not sold or rented to them, not is it shared with them for marketing or solicitation purposes. In addition, we may disclose non-public information with non-affiliated companies and regulatory authorities as permitted and required by applicable laws. For example, we may disclose personal information in cooperation with regulatory authorities and law enforcement agencies, or with attorneys, accountants and auditors as necessary to protect our rights and property. Except as described in this privacy policy, we will not use your personal information for any other purposes unless we describe how such information will be used at the time you disclose it to us or we obtain your permission to do so.</p>
<p>OTHER IMPORTANT INFORMATION</p>	<p>We strive to keep our customer records complete and accurate. We will give you reasonable access to information we have about you. Most of this information is contained in account statements that you receive from the investment companies and contracts that you submit to obtain our products and services. We encourage you to review this information and notify us if you believe any information should be corrected or updated. Customers should contact us concerning questions, comments, complaints or inquiries, or about this privacy notice at 800-798-3553 or by mail at P.O. Box 1127, Cedar Falls, IA 50613. AFM does not possess or engage in customer report activities.</p>
<p>BREACH NOTIFICATION</p>	<p>AFM shall notify any client, at no charge, if there has been a breach of the security of the firm's information data system (paper or in electronic format) following discovery of the breach. The disclosure notification shall be made in the most expedient way possible and without delay after the breach. The notification may be done by written or, electronic notice. The disclosure notification shall include but not be limited to: i) informing the owner of the data (the client) that a breach has occurred along with the date or approximate date of the breach, ii) informing the client of the nature of the breach, and iii) informing the client of the steps the adviser has taken or plans to take relating to the breach.</p>
<p>QUESTIONS? CALL US @ 800-798-3553</p>	<p>If you have questions or concerns about opting out or limiting sharing or about our company privacy policy contact us directly at 319-277-3553. If you still have questions about your privacy rights , contact the Security and Exchange Commission at the Office of Investor Education and Assistance, 450 5th Street NW, Washington, DC 20549-0213 or at sec.gov</p>